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Title

**An Enterprise Architecture
Methodology for Business-IT
Alignment: Adopter and
Developer Perspectives**

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Abstract

This paper proposes the use of an enterprise architecture methodology known as the Systemic Enterprise Architecture Methodology to determine the relevance of EA

in addressing the business-IT alignment. A construct that characterized EA was developed based on review of the literature. A theoretical framework build upon the Systemic Enterprise Architecture Methodology

(SEAM) was used based on a business-IT alignment market, in which supplier business systems compete to provide a value to an adopter business system. Data was empirically gathered based on survey respondents who are

concerned with the adoption, planning and implementation of EA in their organizations. Respondents were managers and executives representing the IT and senior level management of public and private organizations in

Malaysia. The data collected was then analyzed based on the following factors:

- (1) EA business issues;
- (2) EA environment;
- (3) EA governance; and
- (4) EA methods, tools and frameworks. Comparative

analysis was carried out based on the four factors to examine the trend and status of EA adoption and implementation in Malaysia vis-à-vis the international scenario.

Statistical analysis was used to validate the SEAM, which was found to be relevant in addressing the business-IT alignment.

Keywords: SEAM, Information Management, Malaysia

Introduction

Enterprise Architecture (EA) can be viewed as a strategic approach in the evolution of the IT system in response to the constantly changing needs of the business environment

(Schekkerman, 2006). There is no consensus on the definitions and description of EA. A common theme in all of the definitions is that EA describes principles and guidelines in governing the implementation of

information, technology and business mission in organizations; involving different stakeholders and processes.

Enterprise Architecture is a blueprint for how an

organization achieves the current and future business objectives using IT. It examines the key business, information, application, and technology strategies and their impact on business functions (Pereira and Sousa, 2005). It

provides the framework for planning and implementing a rich, standards-based, digital information infrastructure with well-integrated services and activities (Watson, 2000).

Organizations are always looking to find new and cost effective means to leverage existing investments in IT infrastructure and incorporate new capabilities to improve business productivity (Patrick, 2005). Hence, there

is an increasing need for organizations to align their IT and business strategies. This paper examines the Systemic Enterprise Architecture Methodology (SEAM) developed by Wegmann (2003) to determine its

relevance in explaining the business-IT alignment.

Business-IT alignment can be defined as the adoption of appropriate IT solutions that meets the business requirements and gives

satisfactory returns on the IT investment.

Objectives

This paper is set up to meet the following objectives: (1) to examine the trend and status

of EA adoption and implementation in Malaysia based on international benchmark; and (2) to provide evidence of the significance of the Systemic Enterprise Architecture Methodology (SEAM) as a viable approach in

validating business-IT
alignment.

Enterprise Architecture in Malaysia

In Malaysia, perhaps the first
known publishable article on

EA appeared in a book written by Simon Seow (Seow, 2000). Ever since then and through series of workshops and seminars, as well as the setting up of the Malaysia's Chapter for the International Association of Software

Architecture (IASA) in 2002, EA is becoming more and more popular among organizations based on the keen interest on the subject and the overwhelming participation among key IS players (Zulkhairi et al., 2006).

However, there is still a strong need for academic involvement particularly in research and development of EA in Malaysia to further enrich the knowledge of EA. A study conducted in 2006 on the practices of EA in selected

organizations in Malaysia reveals that knowledge and understanding of EA among the organizations are poor though there had been efforts at implementing EA (Zulkhairi et al., 2006). A study by Rafidah et al. (2007) found

that organizations in Malaysia, both public and private, do practice EA but the EA activities were found to be incomplete or not adequately addressed. The authors also found that knowledge on EA is very poor among the

enterprise management in Malaysia. In terms of EA practice, the findings suggest variation of EA particularly at the planning stage. The study also reveals that some aspects of the EA framework were not addressed at all; whilst other

aspects that were addressed vary in terms of perspectives. Earlier, Seow (2000) observed that actual EA practice among Malaysian organizations was very minimal.

The Study

Theoretical framework is a deductive reasoning approach where existing theories, ideas, constructs and methodologies are combined in search for relevant explanation to the

phenomenon being studied. SEAM is based on business/IT alignment market, in which supplier business systems compete to provide a value to an adopter business system. Two units of analysis were identified in this study. First,

those who are responsible for business-IT alignment (the EA Adopter); and second were those who care about EA (the EA Developer). These are people who plan, implement, advice and do consulting and collaborate with others for the

development of EA in the organization. The role of the respondents in the EA Adopter is to adopt EA. The supply role in the EA Development can be broken down into two main actions: planning and implementation. The adoption

action is mainly the responsibility of managers and staff at the operational level that drives the improvement of the business process. EA Development started with planning, which is the responsibility of senior

management, and made practical through implementation, which is essentially the IT professionals. These three actions: Planning, Implementation and Adoption according to Wegmann (2003)

are referred to as the EA lifecycle activities. Three groups of respondents were identified in this study to commensurate with these three actions that signify the EA activities.

Elements of the research to be studied are based on the Trends in Enterprise Architecture 2005 report by the Institute for Enterprise Architecture Development (Schekkerman, 2005). EA activities refer to the

environment in which EA is present and there is evidence to suggest business-IT alignment exists through interactions of elements between business issues and the EA environmental elements. In this study, these

interactions were identified based on correlation analysis that attempts to relate the EA environmental elements with the EA business issues.

Relationships that are found to be significant are deemed to

have supported the interactions, thereby providing evidence of business-IT alignment. The IFEAD 2005 report presented three components that make up the EA environmental elements. These are the EA

Environment, the EA
Governance, and the EA
Methods, Tools and
Framework. These three
elements along with the EA
business issues were
incorporated into the
questionnaire design as

instrument used to carry out the study. A preliminary study was conducted to test the instrument and was found to be valid (Rafidah et al., 2009).

The two units of analysis mentioned in SEAM, the

Adopter and the Developer, were identified as respondents in this study. EA Adopters were those users at the managerial and operational level responsible for the business-IT alignment. EA Implementers represent

respondents who plan and implement the EA in the organization. This can be further sub-categorized into the Planner, who are essentially the CIO, Chief Architect and IT Manager, and the Implementer, who are the

Architect, Consultant and
Systems Analyst.

Data collection was based on a questionnaire constructed to fulfill the needs of the two units of analysis, whereas feedbacks obtained followed

the construct developed by the IFEAD 2005 report. The IFEAD report, edited by Jaap Schekkerman, President of IFEAD, consists of four dimensional constructs as represented in Table 1. The first construct, the EA Business

Issues describes the respondents' perception on the business issues that EA can help address. Two questions were posed to operationalize the construct:

- 1) Why EA is important?; and

2) What business issues can EA help to address? Table 1 lists the complete operationalization of the four constructs adopted from the IFEAD report.

Table 1: Dimensional construct of EA usage (adopter) and Implementation (development).

Please see Table 1 in full PDF version.

EA Environment refers to the situation within the organization that makes EA present possible. EA Governance refers to the structure in which EA is being managed, including the level in which EA is positioned within

the organization, the personnel involved, support structure, skills and training involved, and EA knowledge acquisition. The last construct, EA Methods, Tools and Frameworks, is concerned with the organization's

adoption of a particular EA framework, the kinds of tools used to develop EA, modeling techniques used, and systems development methodology used to develop information systems that are part of the

organization's EA
implementation.

This study involves a sample
size of 100 organizations from
both public and private
sectors. The sampling frame
was based on the list of

organizations registered in the
Universiti Utara Malaysia
(UUM's) University Industry
Link database directory that
lists more than 1260
organizations participated in
the student practicum
attachment throughout

Malaysia. In addition, samples were also drawn from the Malaysia Computer Industry Association (PIKOM) directory, Malaysia National Computer Confederation (MNCC), MSC status companies, Federal and State

Government, and IASA. Data collection involved three stages which are online, postal, and hand-delivered due to the poor response encountered in the earlier stages. A total of 500 questionnaires were distributed from the list based

on random selection with 100 returns representing 20% response rate.

Organizations Background

Figure 1 shows the categories of organizations participated

in the survey. Organizations from multinational to small organizations participated in the survey. Majority of the participating organizations (84%) were with less than 1000 people working in the organizations.

The participating organizations were from Kuala Lumpur (26%), Johore (18%), Selangor (11%), Kedah (11%) and smaller percentages (3-6%) from other states.

Majority are from Government organizations (46%). Other participating organizations were IT Services, Private companies, Telecommunication companies (Telcos),

Government-linked (GLCs),
and Consultancy firms.

Figure 1: Categories of Organizations .

Please See Figure 1 in full PDF version.

The number of people working in IT department is presented in Figure 2. Sixty-one percent of the participants in the categories of 1-10 people, 29% in the categories of 11-100 people, 3% in the categories of

101-500 and 2% made up the
501-1000.

Figure 2: Number of Workers in IT Dept.

**Please see Figure 2 in full
PDF version.**

The Respondents

Table 2 listed the participating organizations category of respondents in the EA lifecycle activities. Majority of the respondents (59%) are in the EA Implementer category,

20% of them are in EA Adopter category and 17% are in EA Planner category. Recall that EA Implementer are those IT professionals and technical people involved in implementing IT solutions that support the business-IT

alignment, whilst EA Adopter is essentially the end-users who are managers and operational staff. EA Planner represents the senior level management involved in formulating the business plans and strategies. These

categories of respondents were identified based on a cover letter sent to the organizations specifically requesting respondents who were familiar with the organization's IS and business processes to complete the

questionnaire. The purpose is to ensure that those who are in the position to represent the organization in terms of EA knowledge and practices should complete the questionnaire.

Table 2: Category of Respondents.

Please see Table 2 in full PDF version.

Enterprise Architecture Activities

This section presents evidence of EA activities found in the study. A comparative analysis is also carried out against an international study that was

carried out and reported by the Institute for Enterprise Architecture Development (IFEAD, 2005). Consistent with the report and construction of the questionnaire instrument in this study, EA activities are

categorised and presented in the following manner:

- (1) EA business issues;
- (2) EA environment;
- (3) EA governance; and
- (4) EA methods, tools and frameworks. However, there is a need to include additional

factors into the EA categories in view of the dynamic nature of IT and the global business transformations that exist today. Factors such as Business-IT alignment, Customer Satisfaction, Better Work Environment, Improved

Project Management, and Service-Oriented Architecture not included in the IFEAD study were found to be important as presented in the sections that follow.

EA Business Issues

As mentioned previously, EA Business Issues describes the respondents' perception on the business issues that EA can help address. The question on why EA is important

showed more than half of respondents (52%) perceived *business-IT alignment* as the most important reason for EA to organization. These were followed by *improve client's satisfaction and commitment* (44%), *managing complexity*,

support decision making and support systems development at 43% of respondents, respectively. Figure 3 shows the rest of the reasons why EA is important and a comparison with the IFEAD 2005 report.

Figure 3: EA Important to Organization.

Please see Figure 3 in full PDF version.

The IFEAD 2005 findings indicate *support decision making, manages IT portfolio, and delivers road maps for change* as the top 3 reasons why EA is important. These were also present in the top 10 list found in this study.

On the kind of business issues that requires EA, again *business-IT alignment* appeared top with more than half of the respondents (55%) perceived it as most important. This is followed by *business change* (35%),

application renewal (34%), infrastructure renewal (29%), and transformation road map (23%). The rest are found in Figure 4 along with the IFEAD 2005 findings.

Figure 4: Business Issues that require EA.

**Please see Figure 4 in full
PDF version.**

Comparison with the IFEAD 2005 shows most of the business issues that requires EA are similar across the two studies. Business-IT alignment appears to be a universal issue that requires EA. Similarly business change, application

and infrastructure renewal,
and transformation roadmap
all deals with the dynamic
nature of business where
respondents believe EA should
be able to address.

EA Environment

EA Environment as described previously refers to the situation within the organization that makes EA present possible. It deals with familiarity of the organization

with EA, policies and guidelines on EA implementation, and the presence of EA. Finding suggests slightly more than half (52%) of respondents acknowledged that their organizations are familiar with

the importance of EA. In contrast, the IFEAD 2005 report shows that almost all (95%) of the responding organizations are familiar with the importance of EA. Figure 5 presents the comparison of the two studies.

Figure 5: Familiar with EA.

Please see Figure in full PDF version.

Part of the studying the EA environment is to examine the commitment by organizations to establish some form of architecture. Hence respondents were asked whether they have any architecture adopted by their

organizations. From the survey, 43% of the organizations have established Information Systems architecture, which was the most popular kind of architecture indicated by the respondents. Next is Software

architecture at 36%, followed by Governance Architecture (30%), and Technology Infrastructure Architecture (28). The rest are presented as in Figure 6 along with a comparison with the findings reported by IFEAD 2005.

Surprisingly, this study found EA at the bottom of the list, as opposed to the IFEAD 2005 report which ranked EA at the top along with Technology Infrastructure and Security architectures.

**Figure 6: Kinds of
Architecture established.**

**Please see Figure 6 in full
PDF version.**

A cross-tabulation between the public and private sectors shows a remarkable difference in terms of architecture preference. Private sector identified Software architecture as the most dominant architecture

established, however, the public sector indicated Software architecture to be among the least. Equally surprising, EA was at the bottom of the list indicated by the public sector organizations. The public

sector identified IS architecture and Governance as the two kinds of architectures mostly adopted. Figure 7 presents the kinds of architectures established between the public and private sectors.

Figure 7: Cross-tabulation of architectures established in public and private sectors.

Please see Figure 7 in full PDF version.

EA Governance

EA Governance describes the management structure which reflects the conscious efforts place by the organizations in the development and adoption of EA. This study found that

50% of the organizations indicate that EA is part of their strategic governance. A cross tabulation between public and private sectors show that the private sector organizations took greater efforts in making EA part of their strategic

governance. Figure 8 presents the findings based on cross-tabulation of EA Governance by public and private sectors.

**Figure 8: EA as
organization's Strategic
Governance.**

**Please see Figure 8 in full
PDF version.**

In terms of the level of EA governance structure, IT Management appears to be the preferred choice with 42% of respondents indicate EA governance structure is at their IT Management level.

This is also consistent with the IFEAD 2005 report that shows similar order of EA governance structure as presented in Figure 9.

Figure 9: Level of EA Governance Structure.

**Please see Figure 9 in full
PDF version.**

EA Methods, Tools and Frameworks

As has been described previously, EA Methods, Tools and Frameworks, is concerned with the organization's adoption of a particular

methods, tools and framework for the development and adoption of EA. Findings from this study suggest majority of the respondents indicated using their organization's own EA framework with 61% responses. The Service

Oriented Architecture (SOA) came a far second with 18% responses. This was followed by the ISO/IEC 14252 standard architecture (IEEE 1003.0) with 9%, and Zachman Framework with 4% responses.

Figure 10: Adoption of EA Framework.

Please see Figure 10 in full PDF version.

Figure 10 presents the EA Framework adopted by the responding organizations along with the IFEAD 2005 findings. It is interesting to note that the presence of a well-known EA framework like the Zachman Framework is

declining dramatically compared to the 2005 report on the trends in EA (IFEAD, 2005). What we have seen at least in Malaysia is that EA framework is still dominantly “home grown” as organizations are still

grappling with the idea of an industry standard architecture that can explain how their information systems can support the organization's business objectives. One industry standard architecture that appears promising and

has recently shown significant presence is the Service Oriented Architecture (SOA). In the IFEAD report 2005, SOA was not even mentioned.

Today, five years down the road, SOA has become a prominent industry standard architecture and its popularity and adoption is expected to improve significantly in the future as more and more major IT players like IBM,

Microsoft, SAP, Oracle etc.
incorporate SOA in their
service delivery.

Respondents were also asked
on the methodology used for
systems development. Top of
the list is Rapid Application

Development (RAD) with 26% of respondents indicated using the RAD approach to develop systems. The Rational Unified Process (RUP) came in second with 16% responses. A close third is the traditional system development life cycle

represented by the Linear Application Development (LAD) with 15% responses.

This is followed by the Dynamic System Development Method (DSDM) with 10% responses, the Iterative

Application Development (IAD) with 9% responses, Extreme Programming (8%), and others (3%). A significant proportion of respondents (13%) did not use any system development methodology.

Figure 11: System Development Methodology.

**Please see Figure 11 in full
PDF version.**

Figure 11 summarized the System Development Methodology in the participating organizations with comparison from the IFEAD 2005 results. Both RUP and RAD appears to be popular occupying the top 3

development methodology for EA. However, the IFEAD 2005 study is more surprising as a significant proportion of their respondents did not use any known methodology.

As for the tools to develop EA in the organizations, majority reported Microsoft Office Tools (60%) were used to develop EA in their organizations. Almost 30% indicated using Microsoft Visio.

SEAM Validation

With evidence of EA activities presented in the foregoing section, recall that SEAM is an EA methodology proposed by Wegmann (2003) describing the business-IT alignment

market in terms of the supplier business system collaborate with the adopter business system in the form of EA lifecycle activities. This section attempts to present evidence that the SEAM is a valid approach to EA

development and adoption.

Applying SEAM to this research, the supplier business system is represented by the EA Developer, which as mentioned in Section 4 of this paper, consists of 2 units of analysis: EA Planner and EA

Implementer. The adopter business system is represented by the third unit of analysis, ie., EA Adopter. The collaboration between the EA Developer and EA Adopter in the business-IT alignment market can be illustrated by

the extend relationships exist between these two elements. Using the dimensional construct of EA usage (adopter) and EA implementation (developer) as presented previously in Table 1 to signify the EA lifecycle

activities, correlation analysis can be performed to determine the magnitude of relationships exist between the constructs. These relationships are presented as shown in Figure 12.

In order to test the significance of the relationships between the constructs, Intensity indices were formulated for each construct to determine the strength of the construct based on selections made by the respondents on the

questionnaire instrument.
Average scores of the intensity indices along with minimum and maximum scores were calculated and presented as in Table 3.

Table 3: Intensity Indices of EA constructs.

Please see Table 3 in full PDF version.

Table 3 suggests that the strengths of EA activities are determined largely by the EA environment, that is familiarity of the organization to EA, the presence of EA policies and guidelines, and actual implementation of EA.

EA activities are also determined by intensity of business issues, that is the need to address business changes and transformation processes. To a lesser extend, EA Governance also affect EA activities but not as much as

EA Environment and Business Issues. The least average intensity score for EA Methods, Tools and Framework suggests that implementation issues, particularly the technical development of EA is less

prominent than the business issues. This is despite the sample of this study constitutes nearly 60% of respondents were categorised as EA Implementers.

Figure 12: SEAM validation Correlation Analysis.

**Please see Figure 12 in full
PDF version.**

Figure 12 shows the results of the correlation analysis between the EA Implementation (developer category constituting the EA Planner and EA Implementer) and the EA Usage (adopter category). The results suggest

there exist relationships between EA Environment, EA Governance and EA Methods, Tools and Frameworks with EA Business Issues at the 0.01 significant level. Correlation coefficients reveal the magnitude and direction of

relationships. The magnitude or degree of correlation between 0.36 to 0.37 as shown in the figure is considered modest. The positive relationships between the variables indicate a large (or small) values on the Developer

category are associated with a large (or small) values on the Adopter category. In other words, the higher the intensity of EA Environment as signified by familiarity with EA, EA as part of organization's strategy, number and variety of

architectures, and EA policy and guidelines, the higher the intensity of business issues addressed by EA. Similarly, the higher the intensity of EA Governance signified by EA formal structure, presence and levels of architects, and

architects' reporting structures, the higher the intensity of business issues addressed by EA. Likewise, the higher the intensity of EA methods, tools and framework used by the organization, the higher the intensity of

business issues that can be addressed by EA. In summary, the correlation analysis suggests that a more conducive environment for EA tends to address better business issues, whereas a stronger EA governance is

likely to manage a wide-ranging business issues, and a more comprehensive methods, tools and framework to facilitate EA implementation has a positive effect in addressing a wide coverage of business issues. Hence, the

SEAM approach applied in this research is considered valid based on the empirical evidence presented in this section.

Discussions and Conclusions

In response to the first objective of the study, the main reasons for adopting EA found in this study are to support business and IT

alignment, improve client's satisfaction and commitment, managing complexity, support systems development, support decision making, better work environment, and improve project management. Support business and IT alignment has

been seen to be an important reason for EA activities in other prior studies. This suggests that organizations that want to ensure their business strategies are aligned to IT strategies should embark on EA. The significance of this

alignment would ensure the organization's IT investment is justifiable. This is even strengthened by the second objective of this study, that provide evidence of the significance of the Systemic Enterprise Architecture

Methodology (SEAM) as a viable approach in validating business-IT alignment.

EA is also considered important to manage road maps for change. Changes in enterprises are becoming

fundamentally important
because of the growing
uncertainty in the global
business environment today,
therefore EA is important to
manage these changes.

In terms of EA environment, the findings suggest that majority of the participating respondents acknowledged that their organizations are familiar with the importance of EA, though findings at the international level show a

more overwhelming trend. This reveals that there is a growing interest in EA in the country, but actual EA adoption appears to be very minimal, particularly among the public sector organizations.

In terms of EA governance, the findings suggest that private sector organizations took greater efforts in making EA part of their strategic governance as compared to the public sector organizations. The findings

also reveal that despite EA being considered important, it is largely the responsibility of IT managers instead of top management. This indicates a lower maturity index profile of EA governance. This is not surprising, since EA is

considered a relatively new phenomenon in Malaysia. Studies done elsewhere (Schekkerman, 2005 and Matthee, 2007) show higher level of maturity with respect to EA implementations. These studies show a shift of

responsibility for EA from IT managers to CEO and business managers.

On the extent of EA method, tools and framework used, dominant EA development methodologies are the

Rational Unified Process and Rapid Application Development. Microsoft suites of tools almost sweep through the entire EA development activities. Majority also reported using house standards for EA framework,

with growing interest in Service Oriented Architecture; whilst the more popular framework like the Zachman Framework was becoming less popular. This may suggest that organizations' preference depends much upon the

industry and technology
dominion, but at the same time
organizations are cautious in
adopting new and emerging
technologies. As a result, EA in
Malaysia is slow to take off,
but there is a growing interest
among organizations in

Malaysia towards EA as evidenced from this study.

With the SEAM approach proven viable, there is no excuse for organizations not to embark on Enterprise Architecture, as this study

provides evidence that EA would be able to address an organization's business-IT alignment. Given the right environment with strategic governance in place and relevant methods, tools and framework for EA

development, organizations would be able to achieve the returns on their IT investment and more importantly meeting their strategic business needs.

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